

中國內地投資者開立或維持投資賬戶之聲明書
Declaration for Opening Investment Accounts

創陸證券有限公司
Innovax Securities Limited

本人希望於創陸證券有限公司(“本公司”)開立或維持投資賬戶，並同意作出以下聲明及確認：
I wish to open an investment account with Innovax Securities Limited (“the Company”) and agree to provide the following declarations and confirmations:

- (a) 本人確認所有用以支持本人在本公司投資賬戶的投資活動及相關結算的資金，均來自中國內地以外的合法來源；
I confirm that all funds used to support my investment activities and related settlements in my investment account with the Company are from lawful sources outside of the Chinese Mainland;
- (b) 本人確認就開立或維持本投資賬戶而向本公司提供之所有身份證明文件及相關證明文件及資料（包括任何副本或電子影像）均屬真實、準確、完整及真確，並沒有偽造、塗改或被篡改；及本人並無任何曾因使用可疑或偽造文件而被任何持牌法團或註冊機構關閉或暫停之賬戶；
I confirm that all identity documents and related supporting documents and information (including any copies or electronic images) provided to the Company for the opening/maintenance of this investment account are true, accurate, complete and genuine, and have not been forged, altered or tampered with; and that I do not have any account that was previously closed or suspended by any licensed corporation(s) or registered institution(s) due to the use of questionable or forged documents;
- (c) 本人承諾如本書面聲明中的資料出現任何更改，會在七個營業日內通知本公司；
I undertake to notify the Company within 7 business days if there are any changes in the information provided in this declaration;
- (d) 本人確認明白本公司可能會按執法機構或監管機構的要求披露本人的個人及其他相關資料；
I confirm and understand that the Company may disclose my personal data and other relevant information if requested by law enforcement or regulatory authorities;
- (e) 本人確認本人將以本人名義使用在香港持牌銀行或在合資格司法管轄區受銀行業監管機構監督的銀行持有的銀行賬戶作結算之用，並確保日後就本投資賬戶而作出的所有提存只會透過本公司在香港的銀行賬戶（或其他本公司指定的合資格銀行賬戶）進行。
I confirm that I will use bank accounts in my own name with banks licensed in Hong Kong or supervised by banking regulators in eligible jurisdictions for settlement purposes; and ensure that all future deposits and withdrawals for the investment account will be conducted exclusively through the Company’s designated bank accounts in Hong Kong or other eligible bank accounts as specified by the Company.

本人確認、同意及知悉：如本人之資金來源其後被發現屬不合法或違反中國內地任何資本管制法規，本公司可關閉本人之投資賬戶。

I confirm, agree and acknowledge that the Company may close my investment account if my funds are subsequently found to be unlawful or in violation of any capital control regulations of the Chinese Mainland.

備注 Remarks

“中國內地投資者” 指根据在本公司開立投資賬戶時，使用中華人民共和國簽發的居民身份證及/或護照作為身份證明文件的個人投資者。

"Chinese Mainland investors" refers to an individual investor who uses either or both a resident identity card and a passport issued by the People's Republic of China as an identification document according to a Registered Institution's records or when opening an investment account.

^“合資格司法管轄區”名單可于證監會網頁“可接受的開立賬戶方式”項下查閱 ([https://www.sfc.hk/TC/Rules-and-standards/Account-opening/List-of-eligible-jurisdictions-for-remote onboarding-of-overseas-individual-clients](https://www.sfc.hk/TC/Rules-and-standards/Account-opening/List-of-eligible-jurisdictions-for-remote-onboarding-of-overseas-individual-clients)).

The list of "eligible jurisdictions" can be accessed on the SFC's webpage under "Acceptable account opening approaches" ([https://www.sfc.hk/TC/Rules-and-standards/Account-opening/List-of-eligible-jurisdictions-for-remote onboarding-of-overseas-individual-clients](https://www.sfc.hk/TC/Rules-and-standards/Account-opening/List-of-eligible-jurisdictions-for-remote-onboarding-of-overseas-individual-clients)).

客戶姓名 Customer Name :

客戶簽署 Customer Signature :

日期 Date :